



WILLIAMS, MORGAN & AMERSON, P.C.

10333 RICHMOND, STE. 1100, HOUSTON, TEXAS 77042  
(713) 934-7000 FAX: (713) 934-7011

Danny L. Williams  
Terry D. Morgan  
J. Mike Amerson  
Kenneth D. Goodman  
Jeffrey A. Pyle  
Jaison C. John  
Ruben S. Bains  
Scott F. Diring

Writer's Direct Dial:  
(713) 934-4079

December 30, 2003

File: 3999.002383

RECEIVED

JAN 07 2004

TECH CENTER 1600/2900

Shelley P.M. Fussey, Ph.D.\*  
Mark D. Moore, Ph.D.\*  
Raymund F. Eich, Ph.D.\*  
Daren C. Davis\*  
Stephanie A. Wardwell, Ph.D.\*  
Mark W. Sincell, Ph.D.\*

\*Patent Agent

CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

December 30, 2003

Date

Shelley P.M. Fussey

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RE: *U.S. Patent Application Serial No. 09/351,149; Entitled: "Cancer Treatment Kits Comprising Therapeutic Conjugates that Bind to Aminophospholipids"; by Thorpe, Ran & Brekken; Client Ref: UTSD:556--2*

Sir:

Enclosed for filing in the above-referenced patent application is:

- (1) A Notice of Appeal from the Primary Examiner to the Board of Patent Appeals and Interferences;
- (2) A Request for an Extension of Time of two months, to and including December 30, 2003 (with copy of Advisory Action);
- (3) A check in the amount of \$750.00 to cover the fee for the two month Extension of Time (\$420.00) and the fee for the Notice of Appeal (\$330.00); and
- (4) A return postcard listing these materials; please date stamp and return the postcard to acknowledge receipt of these materials.

**WILLIAMS, MORGAN & AMERSON, P.C.**

Commissioner for Patents

December 30, 2003

Page 2

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/3999.002383.

Respectfully submitted,  
Williams, Morgan & Amerson, P.C.  
Customer No. 23720



Shelley P.M. Fussey, Ph.D.  
Reg. No. 39,458  
Agent for Applicants

Encls.

SPF



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

3999, 002383

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/351,149	10/31/2003	PHILIP E. THORPE	4001.002383	9329

23720 7590 10/31/2003

WILLIAMS, MORGAN & AMERSON, P.C.  
10333 RICHMOND, SUITE 1100  
HOUSTON, TX 77042

EXAMINER
----------

SHARAREH, SHAHNAM J

ART UNIT	PAPER NUMBER
----------	--------------

1617

DATE MAILED: 10/31/2003

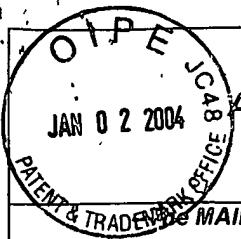
45

Please find below and/or attached an Office communication concerning this application or proceeding.

DOCKETING DEPT.

NOV 03 2003

WILLIAMS, MORGAN & AMERSON



# Advisory Action

Application No.

09/351,149

Applicant(s)

THORPE ET AL.

Examiner

Shahnam Sharareh

Art Unit

1617

MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may only be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.

## PERIOD FOR REPLY [check either a) or b)]

- a) ☐ The period for reply expires \_\_\_\_\_ months from the mailing date of the final rejection.
- b) ☒ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

1. ☐ A Notice of Appeal was filed on \_\_\_\_\_. Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.
2. ☐ The proposed amendment(s) will not be entered because:
- a) ☐ they raise new issues that would require further consideration and/or search (see NOTE below);
- b) ☐ they raise the issue of new matter (see Note below);
- c) ☐ they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
- d) ☐ they present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: \_\_\_\_\_

3. ☐ Applicant's reply has overcome the following rejection(s): \_\_\_\_\_.
4. ☐ Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
5. ☐ The a) ☐ affidavit, b) ☐ exhibit, or c) ☐ request for reconsideration has been considered but does NOT place the application in condition for allowance because: \_\_\_\_\_.
6. ☐ The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection.
7. ☒ For purposes of Appeal, the proposed amendment(s) a) ☒ will not be entered or b) ☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.

The status of the claim(s) is (or will be) as follows:

Claim(s) allowed: 43.

Claim(s) objected to: 32.

Claim(s) rejected: 1-9, 16-19, 24-31 and 45-57.

Claim(s) withdrawn from consideration: 10-15, 20-23 and 44.

8. ☐ The proposed drawing correction filed on \_\_\_\_\_ is a) ☐ approved or b) ☐ disapproved by the Examiner.
9. ☐ Note the attached Information Disclosure Statement(s) (PTO-1449) Paper No(s). \_\_\_\_\_.
10. ☒ Other: See Continuation Sheet

*RP*  
RUSSELL TRAVERS  
PRIMARY EXAMINER

Advisory Action  
DOCKETED  
FOR 11-30-03  
BY *[Signature]*  
CHK'D BY *[Signature]*  
12-30  
2nd  
10-30-04  
3rd Ext

APPEAL 1st Ext  
DOCKETED  
FOR 11-15-03  
BY *[Signature]*  
CHK'D BY *[Signature]*

Continuation of 10. Other: Request to withdraw finality is a petitionable subject matter and is not a proper reply to the Final Rejection when the merits of the rejections are not discussed. In the instant case, the rejections of record stands for the reasons of record. Applicant's request to withdraw finality has been considered but are not persuasive. The IDS filed on May 2003 ("IDS"), did not properly follow 37 CFR 1.97 (e)(2) as it did not contain any positive statement about when the content of the IDS was known to the applicant. Any statement after the filing of the IDS is not curative of such deficiency. Further, Applicant on para 2 of the the IDS authorizes the Assistant Commissioner to deduct any fees from the deposit account of Williams, Morgan & Amerson. Attention is drawn to para 2 of the IDS where it states "should any fees under 37 CFR 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Assistant Commissioner is hereby authroized to deduct said fees from Williams, Morgan & Amerson, PC Deposit Account." Accordingly, Examiner has constructively relied on such statement to statify the fee requirement and therefore made the proceeding Action Final based on the IDS.